PATENT

Docket No. F-5231

jc380 U.S. PTO 03/30/98

Box Patent Application

Commissioner of Patents and Trademarks

Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): ***Richard BROWN and Ying-Cheng LO***

WARNING: Patent must be applied for in the name(s) of all of the actual inventor(s). 37 CFR 1.41(a) and 1.53(b).

For (title): ***A Carrier for Holding a Flexible Fluid Processing Container***

1. Type of Application

This new application is for a(n) *(check one applicable item below):*

[x] Original

[ ] Design

[ ] Plant

WARNING: *Do not use this transmittal for a completion in the U.S. of an International Application under 35 U.S.C. 371(c)(4) unless the International Application is being filed as a divisional, continuation or continuation-in-part application.*

NOTE: *If one of the following 3 items apply then complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF A PRIOR U.S. APPLICATION CLAIMED and a NOTIFICATION IN PARENT APPLICATION OF THE FILING OF THIS CONTINUATION APPLICATION.*

[ ] Divisional

[ ] Continuation

[ ] Continuation-in-part (CIP)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date March 30, 1998 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EI859782304US addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Judith Biebel

(type or print name of person mailing paper)

(Signature of person mailing paper)

NOTE: *Each paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 Cfr 1.10(b).*

2. Benefit of Prior U.S. Application(s) (35 USC 120)

NOTE: If the new application being transmitted is a divisional, continuation or a continuation-in-part of a parent case, or where the parent case is an International Application which designated the U.S., then check the following item and complete and attach ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

[ ] The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

17 Pages of specification

05 Pages of claims

01 Pages of Abstract

14 Sheets of drawing

[ ] formal

[x] informal

WARNING: DO NOT submit original drawings. A high quality copy of the drawings should be supplied when filing a patent application. The drawings that are submitted to the Office must be on strong, white, smooth, and non-shiny paper and meet the standards according to § 1.84. If corrections to the drawings are necessary, they should be made to the original drawing and a high-quality copy of the corrected original drawing then submitted to the Office. Only one copy is required or desired. Comments on proposed new 37 CFR 1.84. Notice of March 9, 1988 (1990 o.G. 57-62).

NOTE: Identifying indicia such as the serial number, group and unit, title of the invention, attorney's docket number, inventor's name, number of sheets, etc., not to exceed 23/4 inches (7.0 cm.) in width may be placed in a centered location between the side edges within three fourths inch (19.1 mm.) of the top edge. Either this marking technique on the front of the drawing or the placement, although not preferred, of this information and the title of the invention on the back of the drawings is acceptable." Proposed 37 CFR 1.84(1). Notice of March 9, 1988 (1090 O.G. 57-62).

4. Additional papers enclosed

[ ] Preliminary Amendment

[ ] Information Disclosure Statement (37 CFR 1.98)

[ ] Form PTO-1449

[ ] Citations

[ ] Declaration of Biological Deposit

[ ] Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.

[ ] Authorization of Attorney(s) to Accept and Follow Instructions from Representative

[ ] Special Comments

[ ] Other

5. Declaration or oath

[ ] Enclosed

executed by *(check all applicable boxes)*

[ ] inventor(s).

[ ] legal representative of inventor(s). 37 CFR 1.42 or 1.43

[ ] joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

[ ] this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. *See item 13 below for fee.*

[x] Not Enclosed.

WARNING: Where the filing is a completion in the U.S. of an International Application but where a declaration is not available or where the completion of the U.S. application contains subject matter in addition to the International Application the application may be treated as a continuation or continuation-inpart, as the case may be, utilizing ADDED PAGE FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION CLAIMED.

[x] Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(E) can be filed subsequently).

NOTE: It is important that all the correct inventor(s) are named for filing under 37 CFR 1.41(c) and 1.53(b).

[ ] Showing that the filing is authorized. *(Not required unless called into question. 37 CFR 1.41(d).*

6. Inventorship Statement

WARNING: If the named inventors are each not the inventors of all the claims an explanation, including the ownership of the various claims at the time the last claimed invention was made, should be submitted.

The inventorship for all the claims in this application are:

[x] The same

or

[ ] Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,

[ ] is submitted.

[ ] will be submitted.

7. Language

NOTE: An application including a signed oath or declaration may be filed in a language other than English. A verified English translation of the non-English language application and the processing fee of $130.00 required by 37 CFR 1.17(k) is required to be filed with the application or within such time as may be set by the Office. 37 CFR 1.52(d).

NOTE: A non-English oath or declaration in the form provided or approved by the PTO need not be translated. 37 CFR 1.69(b).

[x] English

[ ] non-English

[ ] the attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

[x] An assignment of the invention to Baxter International Inc.

[ ] is attached. A separate [ ] "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" or [ ] FORM PTO 1595 is also attached.

[x] will follow.

NOTE: "If an assignment is submitted with a new application, send two separate letters-one for the application and one for the assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

WARNING: A newly executed "CERTIFICATE UNDER 37 CFR 3.73(b)" must be filed when a continuation--in-part application is filed by an assignee. Notice of April 30, 1993, 1150 O.G. 62-64.

9. Certified Copy

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed

[ ] is(are) attached.

[ ] will follow.

NOTE: The foreign application forming the basis for the claim for priority must be referred to in the oath or declaration. 37 CFR 1.55(A) AND 1.63.

NOTE: This item is for any foreign priority for which the application being filed directly relates. If any parent U.S. application or International Application from which this application claims benefit under 35 U.S.C. 120 is itself entitled to priority from a prior foreign application then complete item 18 on the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

10. Fee Calculation (37 CFR 1.16)

A. [x] Regular application

CLAIMS AS FILED

	Number filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a) $790.00
Total Claims (37 CFR 1.16(c))	38	-20 =	18	X	$ 22.00	396.00
Independent Claims (37 CFR 1.16(b))	05	-3 =	02	X	$82.00	164.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))				+	$270.00	270.00

[ ] Amendment cancelling extra claims enclosed.

[ ] Amendment deleting multiple-dependencies enclosed.

[ ] Fee for extra claims is not being paid at this time.

NOTE: If the fees for extra claims are not paid on filing they must be paid or the claims cancelled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency. 37 CFR 1.16(d).

Filing Fee Calculation $ 1620.00

B. [ ] Design application
($330.00-37 CFR 1.16(f))

Filing Fee Calculation $ _____

C. [ ] Plant application
($540.00-37 CFR 1.16(g))

Filing fee calculation $ _____

11. Small Entity Statement(s)

[ ] Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) attached.

Filing Fee Calculation (50% of A, B or C above) $ _____

NOTE: Any excess of the full fee paid will be refunded if a verified statement and a refund request are filed within 2 months of the date of timely payment of a full fee. 37 CFR 1.28(a).

12. Request for International-Type Search (37 CFR 1.104(d)) (complete, if applicable)

[ ] Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment Being Made At This Time

[x] Not Enclosed

[x] No filing fee is to be paid at this time. *(This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)*

[ ] Enclosed

[ ] basic filing fee $ _____

[ ] recording assignment ($40.00; 37 CFR 1.21(h))(See attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING NEW APPLICATION".) $ _____

[ ] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. ($130.00; 37 CFR 1.47 and 1.17(h)) $ _____

[ ] for processing an application with a specification in a non-English language. ($130.00; 37 CFR 1.52(d) and 1.17(k) $ _____

[ ] processing and retention fee ($130.00; 37 CFR 1.53(d) and 1.21(l))

[ ] fee for international-type search report ($40.00; 37 CFR 1.21(e)). $ _____

NOTE: 37 CFR 1.21(l) establishes a fee for processing and retaining any application which is abandoned for failing to complete the application pursuant to 37 CFR 1.53(d) and this, as well as the changes to 37 CFR 1.53 and 1.78, indicate that in order to obtain the benefit of a prior U.S. application, either the basic filing fee must be paid or the processing and retention fee of § 1.21(l) must be paid within 1 year from notification under § 53(d).

Total fees enclosed $ - 0 -

14. Method of Payment of Fees

[ ] Check in the amount of $ ____.

[ ] Charge Account No. __ in the amount of $ ___. A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

15. Authorization to Charge Additional Fees

WARNING: If no fees are to be paid on filing the following items should not be completed.

WARNING: Accurately count claims, especially multiple dependent claims, to avoid unexpected high charges, if extra claim charges are authorized.

[ ] The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. _____

[ ] 37 CFR 1.16(a), (f) or (g) (filing fees)

[ ] 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)

NOTE: Because additional fees for excess or multiple dependent claims not paid on filing or on later presentation must only be paid or these claims cancelled by amendment prior to the expiration of the time period set for response by the PTO in any notice of fee deficiency (37 CFR 1.16(d)), it might be best not to authorize the PTO to charge additional claim fees, except possibly when dealing with amendments after final action.

[ ] 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

[ ] 37 CFR 1.17 (application processing fees)

WARNING: While 37 CFR 1.17(A), (b), (c) and (d) deal with extensions of time under § 1.136(A) this authorization should be made only with the knowledge that: "submission of the appropriate extension fee under 37 C.F.R. 1.136(A) is to no avail unless a request or petition for extension is filed." (Emphasis added). Notice of November 5, 1985 (1060 O.G.27).

[ ] 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

NOTE: Where an authorization to charge the issue fee to a deposit account has been filed before the mailing of a Notice of Allowance, the issue fee will be automatically charged to the deposit account at the time of mailing the notice of allowance. 37 CFR 1.311(b).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application ... prior to paying, or at the time of paying, ... issue fee". From the wording of 37 CFR 1.28(b): (a) notification of change of status must be made even if the fee is paid as "other than a small entity" and (b) no notification is required if the change is to another small entity.

16. Instructions As To Overpayment

[ ] credit Account No. _____

[ ] refund

SIGNATURE OF ATTORNEY

Reg. No. 29,243

Daniel D. Ryan
(type or print name of attorney)

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RYAN, MAKI, MANN & HOHENFELDT, S.C.
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[ ] **Incorporation by reference of added pages**

Check the following item if the application in this transmittal claims the benefit of prior U.S. application(s) (including an international application entering the U.S. stage as a continuation, divisional or C-I-P application) and complete and attach the ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED

[ ] Plus Added Pages For New Application Transmittal Where Benefit Of Prior U.S. Application(s) Claimed

Number of pages added _____

[ ] Plus Added Pages For Papers Referred To In Item 4 Above

Number of pages added _____

[ ] Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added_____

[x] **Statement Where No Further Pages Added**

(If no further pages form a part of this Transmittal then end this Transmittal with this page and check the following item)

[x] This transmittal ends with this page.

A CARRIER FOR HOLDING A FLEXIBLE FLUID PROCESSING CONTAINER

Field of the Invention

The invention relates to blood processing systems and apparatus.

Background of the Invention

Today, people routinely separate whole blood by centrifugation into its various therapeutic components, such as red blood cells, platelets, and plasma.

Conventional blood processing methods use durable centrifuge equipment in association with single use, sterile processing systems, typically made of plastic. The operator loads the disposable systems upon the centrifuge before processing and removes them afterwards.

The centrifuge chamber of many conventional centrifuges takes the form of a relatively narrow arcuate slot or channel. Loading a flexible processing container inside the slot prior to use, and unloading the container from the slot after use, can often be time consuming and tedious.

Summary of the Invention

The invention makes possible improved liquid processing systems that provide easy loading and unloading of disposable processing components. The invention achieves this objective without complicating or significantly increasing the cost of the disposable components. The

invention allows relatively inexpensive and straightforward disposable components to be used.

The invention provides a processing assembly for insertion into and removal from a channel which, in use, is rotated to create a centrifugal field. The processing assembly comprises a generally flexible processing container and a carrier, to which the processing container is attached. The carrier shapes the processing container to generally match the configuration of the channel. The carrier limits deformation of the processing container during its insertion into and removal from the channel. Inside the channel, the processing container receives fluids, e.g., blood, for separation in the centrifugal field.

The features and advantages of the invention will become apparent from the following description, the drawings, and the claims.

Brief Description of the Drawings

Fig. 1 is a side view, partly in section, of a centrifuge having a channel into which a flexible processing container carried by a generally stiff carrier have been inserted for use, the centrifuge being shown in an operational condition;

Fig. 2 is a side view of the centrifuge shown in Fig. 1, also partly in section, having been rotated by about 90º to reveal other structural features not shown in Fig. 1;

Fig. 3 is a side view, partly in section, of the centrifuge shown in Fig. 1, except that the channel has been swung upward to receive the flexible processing container and carrier as a unit;

Fig. 4 is a front plan view of the flexible processing container shown in Fig. 1;

Fig. 5 is a schematic, perspective view of the interior of the processing container shown in Fig. 4, showing details of the separation of whole blood into red blood cells and platelet-rich plasma in the whole blood entry region of the container;

Fig. 6 is a top sectional view of the processing container shown in Fig. 4, showing various contours formed along the high-G and low-G sides of the separation zone to enhance centrifugal separation of blood;

Figs. 7 and 8 are perspective views, taken along the low-G side of the channel, showing further details of one of the contours shown in Fig. 6, which comprises an inclined ramp used to help govern the collection of platelet-rich plasma from the container;

Fig. 9 is a schematic view of the separation of blood within the processing container shown in Fig. 4, showing the dynamic flow conditions which the various contours shown in Fig. 6 develop.

Fig. 10 is a plan view of the processing container shown in Fig. 4 with an integrally attached, multiple lumen umbilicus to conduct fluids to and from the container in a seal less system;

Fig. 11 is a section view of the umbilicus taken generally along line 11-11 in Fig. 10;

Fig. 12A is a perspective, exploded view of the processing container and a generally stiff carrier, which aids its insertion into and removal

from the channel of the centrifuge shown in Fig. 1;

Fig. 12B is a perspective, assembled view of the processing container and carrier shown in Fig. 12A;

Fig. 13 and 14 are perspective views of a processing container shown in Fig. 4 when carried by a generally stiff carrier, which can be placed in a generally lay-flat condition for storage (Fig. 13) and rolled into a curved condition for insertion into the channel (Fig. 14);

Fig. 15 is a perspective view of a slotted carrier, which carries a processing container shown in Fig. 4, to aid in its insertion into and removal from the channel of the centrifuge shown in Fig. 1;

Fig. 16 is a perspective view of a tool intended to be fitted over the top of a processing container, as shown in Fig. 4, to aid its insertion into and removal from the channel of the centrifuge shown in Fig. 1; and

Fig. 17 is a perspective view of the tool shown in Fig. 16, when fitted to the processing chamber for use in inserting and removing the chamber into and from the channel of the centrifuge shown in Fig. 1.

The invention may be embodied in several forms without departing from its spirit or essential characteristics. The scope of the invention is defined in the appended claims, rather than in the specific description preceding them. All embodiments that fall within the meaning and range of equivalency of the claims are therefore intended to be embraced by the claims.

Description of the Preferred Embodiments

Figs. 1 and 2 show a centrifugal processing system 10 that embodies the features of the invention. The system 10 can be used for processing various fluids. The system 10 is particularly well suited for processing whole blood and other suspensions of biological cellular materials. Accordingly, the illustrated embodiment shows the system 10 used for this purpose.

The system 10 includes a centrifuge assembly 12 and a fluid processing assembly 14, which is used in association with the centrifuge assembly 12, as Figs. 1 and 2 show. The centrifuge assembly 12 is intended to be a durable equipment item capable of long term use. The fluid processing assembly 14 is intended to be a single use, disposable item, which is loaded into the centrifuge assembly 12 at time of use and unloaded and discarded after use.

A stationary platform 16 carries the rotating components of the centrifuge assembly 12. The rotating components of the centrifuge assembly 12 include a yoke assembly 18 and a chamber assembly 20.

The yoke assembly 18 includes a yoke base 22, a pair of upstanding yoke arms 24 (best shown in Fig. 2), and a yoke bowl 26. The yoke base 22 is attached to a first axle 28, which spins on a bearing element 30 about the stationary platform 16. An electric drive 32, e.g., a permanent magnet, brushless DC motor, rotates the yoke assembly 18 on the first axle 28.

The chamber assembly 20 is attached to a second axle 34, which spins on a bearing element 36 within the yoke bowl 26. The yoke bowl 26 is

pivotally carried by pins 38 on the yoke arms 24. The yoke bowl 26 and, with it, the chamber assembly 20 it carries, swing as a unit on the pins 38 between a downward facing position for operation (shown in Figs. 1 and 2) and an upward facing position for loading the fluid processing assembly 14 (shown in Fig. 3). Fig. 3 shows the centrifuge assembly 12 before loading in the fluid processing assembly 14, whereas Figs. 1 and 2 show the centrifuge assembly 12 after loading in the fluid processing assembly 14.

A latch mechanism 40 releasably locks the yoke bowl 26 in the downward operating position. When the yoke bowl 26 is in the downward operating position, the axis of rotation 60 for the yoke assembly 18 (about axle 28) is generally aligned with the axis of rotation 62 of the chamber assembly 20 (about the axle 34).

The latch mechanism 40 can take various forms. In the illustrated embodiment (see Fig. 2), a pin 160 is carried by the yoke arm 24. The pin 160 is spring-biased to normally project into a key way 162 in the yoke bowl 26 when the yoke bowl 26 is located in its downward operating position. The interference between the pin 160 and the key way 162 retains the yoke bowl 26 in the downward position. The pin 160 includes a handle end 164, allowing the operator to manually pull the pin 160 outward, against its spring bias. This frees the pin 160 from the key way 162. With the pin 160 withdrawn, the operator can pivot the yoke bowl 26 into its upward facing position.

The chamber assembly 20 includes an arcuate channel 42, which is defined between an outer wall 44, an inner wall 46, and a bottom wall

48. The channel 42 spins about the rotational axis 62. During rotation, the outer wall 44 becomes a high-G wall and the inner wall 46 becomes a low-G wall. The high-G wall and low-G wall together define the high and low limits of the centrifugal field.

The fluid processing assembly 14 includes a disposable processing container 64, which, in use, is carried within the channel 42 for common rotation, as Figs. 1 and 2 show. While rotating with the channel 42, fluids introduced into the container 64 separate as a result of centrifugal forces. Once the separation procedure is completed, the processing chamber 64 is intended to be removed from the channel 42 and disposed of.

The construction of the processing container 64 can vary, according to the separation objectives. In the illustrated embodiment, the container 64 is used to separate packed red blood cells (PRBC) and platelet-rich plasma (PRP) from whole blood (WB) drawn from a donor.

With this separation objective in mind (see Fig. 4), the processing container 64 comprises two elongated sheets 66A and 66B of a flexible, biocompatible plastic material, such as plasticized medical grade polyvinyl chloride, heat sealed together about their periphery. The fluid processing assembly 14 includes three tubing branches 68, 70, and 72 that communicate directly with the processing container 64. In the illustrated embodiment, the tubing branches 68, 70, and 72 are integrally connected to the processing container 64, so that the processing assembly 14 can be manufactured as a sterile, closed system.

The first tubing branch 68 carries WB through an inlet port 74 into the container 64. The container 64 includes interior seals 76 and 78, which form a WB inlet passage 80 that leads into a WB entry region 82. WB follows a circumferential flow path in the container 64, as it spins inside the channel 42 about the rotational axis 62. The side walls of the container 64 expand within the confines of the channel 64 against the low-G wall 46 and high-G wall 44.

As Fig. 5 shows, WB separates in the centrifugal field within the container 64 into PRBC 84, which move toward the high-G wall 44, and PRP 86, which are displaced by movement of the PRBC 84 toward the low-G wall 46. An intermediate layer 88, called the interface, forms between the PRBC 84 and PRP 86.

The second tubing branch 70 carries separated PRP through a first outlet port 90 from the container 64. The interior seal 78 also creates a PRP collection region 92 in the container 64. The PRP collection region 92 is adjacent to the WB entry region 82. The velocity at which the PRBC 84 settle toward the high-G wall 44 in response to centrifugal force is greatest in the WB entry region 82 than elsewhere in the container 64. There is also relatively more plasma volume to displace toward the low-G wall 46 in the WB entry region 82. As a result, relatively large radial plasma velocities toward the low-G wall 46 occur in the WB entry region 82. These large radial velocities toward the low-G wall 46 elute large numbers of platelets from the PRBC 84 into the close-by PRP collection region 92, for

collection through the second tubing branch 70.

The third tubing branch 72 carries separated PRBC 84 through a second outlet port 94 from the container 64. The interior seal 78 also forms a dog-leg 96 that defines a PRBC collection passage 98. A stepped-up barrier 100 (see Fig. 6) extends into the PRBC mass along the low-G wall 46, creating a restricted passage 102 between it and the facing high-G wall 44. The restricted passage 102 allows PRBC present along the high-G wall 44 to move beyond the barrier 100 into the PRBC collection passage 98 to the PRBC port 94. Simultaneously, the stepped-up barrier 100 blocks the passage of the PRP beyond it.

As Figs. 5, 7, and 8 show, the high-G wall 44 also projects toward the low-G wall 46 to form a tapered ramp 104 in the PRP collection region 92. The ramp 104 forms a constricted passage 106 along the low-G wall 46, along which the PRP 86 extends. The ramp 104 keeps the interface 88 and PRBC 84 away from the PRP collection port 90, while allowing PRP 86 to reach the PRP collection port 90.

In the illustrated embodiment (see Fig. 7), the ramp 104 is oriented at a non-parallel angle α of less than 45° (and preferably about 30°) with respect to the axis of the PRP port 90. The angle α mediates spill-over of the interface 88 and PRBC 84 through the constricted passage 106.

As Figs. 7 and 8 show, the ramp 104 also displays the interface 88 for viewing through a side wall of the container 64 by an associated interface controller 108 (shown schematically in Fig 5). The interface controller 108 controls the relative flow rates of WB, PRP, and PRBC through

their respective ports 74, 90, and 94. In this way, the controller 108 maintains the interface 88 at a prescribed control location on ramp 104 close to the constricted passage 106 (as Fig. 7 shows), and not spaced away from the constricted passage 106 (as Fig. 8 shows). The controller 108 thereby controls the platelet content of the PRP collected through the port 90. The concentration of platelets in the plasma increases with proximity to the interface 88. By maintaining the interface 88 at a high position on the ramp 104 (as Fig. 7 shows), the plasma conveyed by the port 90 is platelet-rich.

Further details of a preferred embodiment for the interface controller are described in U.S. Patent 5,316,667, which is incorporated herein by reference.

As Fig. 5 and 6 show, radially opposed surfaces in the container 64 form a flow-restricting region 114 along the high-G wall 44 of the WB entry region 82. The region 114 restricts WB flow in the WB entry region 82 to a reduced passage, thereby causing more uniform perfusion of WB into the container 64 along the low-G wall 46. The constricted region 114 also brings WB into the entry region 82 at approximately the preferred, controlled height of the interface 88 on the ramp 104.

As Fig. 6 shows, the low-G wall 46 tapers outward away from the axis of rotation 62 toward the high-G wall 44 in the direction of WB flow, while the facing high-G wall 44 retains a constant radius. The taper can be continuous (as Fig. 6 shows) or can occur in step fashion. These contours along the high-G and low-G walls 44 and

46 produce a dynamic circumferential plasma flow condition generally transverse the centrifugal force field in the direction of the PRP collection region 92. As depicted schematically in Fig. 9, the circumferential plasma flow condition in this direction (arrows 214) continuously drags the interface 88 back toward the PRP collection region 92, where the higher radial plasma flow conditions already described exist to sweep even more platelets off the interface 88. Simultaneously, the counterflow patterns (arrow 216) serve to circulate the other heavier components of the interface 88 (the lymphocytes, monocytes, and granulocytes) back into the PRBC mass, away from the PRP stream.

As Fig. 10 best shows, the three tubing branches 68, 70, and 72 are coupled to an umbilicus 116. As Fig. 11 shows, the umbilicus 116 includes a coextruded main body 118 containing three interior lumens 120, which each communicates with one of the tubing branches 68, 70, and 72. The main body 118 is made, e.g., from HYTREL® 4056 Plastic Material (DuPont), which withstands high speed flexing.

As Fig. 10 shows, an upper support block 122 and a lower support block 124 are secured, respectively, to opposite ends of the umbilicus body 118. Each support block 122 and 124 is made, e.g., of a HYTREL® 8122 Plastic Material (DuPont), which are injection over-molded about the main umbilicus body 118. The over-molded blocks 122 and 124 include formed lumens, which communicate with the three umbilicus lumens 120. The three tubing branches 68, 70, and 72 (made from polyvinyl chloride material) are solvent bonded to

the lower block 124 in communication with the umbilicus lumens 120. Additional tubing branches 126 (also made from polyvinyl chloride material) are solvent bonded to the upper block 122 in communication with the umbilicus lumens 120. The additional tubing branches 126, in use, are placed in operative association with conventional peristaltic pumps, sensors, and clamps (not shown).

As further shown in Fig. 10, each support block 122 and 124 preferably includes an integral, shaped molded flange 128, to aid the installation of the umbilicus 116 on the centrifuge assembly 12, as will be described later. Each support block 122 and 124 further includes a tapered sleeve 130, which act as strain relief elements for the umbilicus 116 during use.

As Figs. 12A and 12B show, in the illustrated and preferred embodiment, the flexible processing container 64 is attached to a carrier 132. The carrier 132 possesses mechanical properties that limit deformation of the shape of the carrier 32 when subject to linear compression forces. The carrier 32 can be formed, e.g., from molded plastic, vacuum-formed plastic, cardboard, or paper. The processing container 64 is secured to the carrier 132, e.g., by pinning, gluing, taping, or welding.

As Fig. 12B shows, the carrier 132 can be shaped to nest within the channel 64. The carrier provides an added degree of stiffness during handling to aid in the insertion of the processing container 64 into the channel 42, as well as the removal of the container 64 from the channel 42, without undue bending or shape deformation. The

carrier 132 can include a lubricious surface treatment, to further reduce interference and frictional forces during its insertion into and removal from the channel 42.

As Figs. 12 A and 12 B show, the material of the carrier 132 can be pre-shaped in a normally rounded, three-dimensional geometry, which nests within the interior of the channel 42. Alternatively (as Fig. 13 shows), the carrier 132 can, if made from semi-rigid material, be maintained before use in a generally lay-flat conditioned. At the time of use (see Fig. 14), the carrier 132 is rolled end-to-end and secured, e.g., using end tabs 134 fitting into end slots 135, to form the rounded, three-dimensional shape, which conveniently slides into the channel 42 in the manner shown in Fig. 12B. The carrier 132 can include spaced side tabs 136 to aid in grasping, lifting, and lowering the carrier 132 with respect to the channel 42.

As shown in Figs. 12A/B to 14, the carrier 132 extends along only one side of the container 64. Alternatively, as shown in Fig. 15, the carrier 132 can itself form a slotted structure, comprising a front wall 140 and a rear wall 142, forming a slot 144 between them. In this arrangement, the container 64 is sandwiched in the slot 144 between the front and rear walls 140 and 142.

As Fig. 15 shows, the carrier walls 140 and 142 can include preformed contoured surfaces, for example, surfaces 146, 148, 150, and 152. When filled with blood and undergoing centrifugation, the sides of the container 64 press against the surfaces 146 to 152. The contoured surfaces 146

to 152 of the carrier 132 define the high-G and low-G contours desired for the separation zone.

For example, a first contoured surface 146 projecting outward from the rear wall 142 can define the PRBC barrier 100. A second contoured surface 148 projecting from the front wall 140 can define the tapered ramp 104. Third and fourth contoured surfaces 150 and 152 projecting outward from the front and rear walls 140 and 142 can mutually press against and support the interior seal 78, to protect the seal 78 against failure or leakage. The other contours shown in Fig. 6, and more, can likewise be formed using the carrier 132.

Figs. 16 and 17 show another alternative embodiment of a carrier 166 for the flexible processing container 64. In this embodiment, the carrier 166 comprises a cap 168 having a top wall 170 and a depending side wall 172 shaped to nest within the channel 64. The side wall 172 possesses mechanical properties that limit its deformation when subject to linear compression forces. Like the carrier 32, the side wall 172 can be formed, e.g., from molded plastic, vacuum-formed plastic, cardboard, or paper.

The top wall 170 includes an interior groove 174, which receives the top edge 176 of the container 64. The groove 174 generally corresponds to the shape of the side wall 172. Together, the groove 174 and the side wall 172 shape the container 64 into the desired normally rounded, three-dimensional geometry for placement into the interior of the channel 42 (as Fig. 17 shows). A region 180 of the side wall 172 is cut away to accommodate passage of the tubes 68, 70, and 72

coupled to container 64.

The side wall 172 depends a distance from the top wall 170 sufficient to impart stiffness to the container 64 and thereby prevent buckling or undue bending or shape deformation of the container 64 when inserted into the channel 64. The cap 168 is intended to be removed once the container 64 has nested in the channel 64, and can thereafter be re-engaged when it is time to remove the container 64 from the channel 64. In the illustrated embodiment, the top wall 170 includes an exterior grip 178 for the operator to grasp (see Fig. 17), to further facilitate insertion and removal of the container 64 into and from the channel 42. The carrier 132 can include a lubricious surface treatment, to further reduce interference and frictional forces during its insertion into and removal from the channel 42.

The centrifuge assembly 14 includes upper and lower mounts 156 and 158. The mounts 156 and 158 receive the umbilicus support blocks 122 and 124, previously described. The mounts 156 and 158 hold the umbilicus 116 (see Figs. 1 and 2) in a predetermined orientation during use, which resembles an inverted question mark.

As Fig. 2 best shows, the upper umbilicus mount 156 is located at a non-rotating position above the chamber assembly 20, aligned with the rotational axis 62 of the assembly 20 when in its downward facing position. The lower umbilicus mount 158 is carried on the top of the chamber assembly 20, and is also aligned with the rotational axis 62. The lower umbilicus mount 158 is presented to the operator when the chamber assembly 20 is swung into its upward facing

orientation. Thus, with the chamber assembly 20 in its upward facing orientation (shown in Fig. 3), the carrier 132 (holding the container 64) can be conveniently loaded into the channel 42. The umbilicus support block 122 can be loaded into the upper mount 156, just as the umbilicus support block 124 can be loaded into the exposed lower mount 158. The flanges 128 help orient the blocks 122 and 124 in their respective mounts 156 and 158.

When swung back into the downward facing orientation (see Fig. 2), the lower mount 158 holds the lower portion of the umbilicus 116 in a position aligned with the aligned rotational axes 60 and 62 of the yoke assembly 18 and chamber assembly 20. The mount 158 grips the lower umbilicus support 124 to rotate the chamber assembly 20 as the lower portion of the umbilicus 116 is rotated.

The upper mount 156 holds the upper portion of the umbilicus 116 in a non-rotating position above the yoke assembly 18. Rotation of the yoke base 22 brings a yoke arm 24 into contact with the umbilicus 116. This, in turn, imparts rotation to the umbilicus 116 about the rotational axis 60. Constrained by the upper mount 156, the umbilicus 116 also twists about its own axis 160 as it rotates. For every 180° of rotation of the first axle 28 about its axis 60 (thereby rotating the yoke assembly 180°), the umbilicus 116 will roll or twirl 180° about its axis 160. This 180° rolling component, when added to the 180° rotating component, cause the chamber assembly 20 to rotate 360° about its axis. The relative rotation of the yoke assembly 18 at a one omega rotational speed

and the chamber assembly 20 at a two omega rotational speed, keeps the umbilicus 116 untwisted, avoiding the need for rotating seals. The illustrated arrangement also allows a single drive element 32 to impart rotation, through the umbilicus 116, to the mutually rotating centrifuge elements 18 and 20. Further details of this arrangement are disclosed in Brown et al U.S. Patent 4,120,449, which is incorporated herein by reference.

Various features of the invention are set forth in the following claims.

We claim:

1. A processing assembly for insertion into and removal from a channel which, in use, is rotated to create a centrifugal field, the processing assembly comprising,

a processing container having flexibility and which, in use, occupies the channel to receive fluids for separation in the centrifugal field, and

a carrier carrying the processing container outside the channel in a flexed condition conforming to the channel, the carrier limiting deformation of the processing container during insertion into or removal from the channel.

2. A processing assembly comprising

a centrifuge channel which, in use, is rotated to create a centrifugal field,

a processing container having flexibility and which, in use, occupies the centrifuge channel to receive fluids for separation in the centrifugal field, and

a carrier carrying the processing container outside the centrifuge channel in a flexed condition conforming to the centrifuge channel, the carrier limiting deformation of the processing container during insertion into or removal from the channel.

3. An assembly according to claim 2

wherein the centrifuge channel includes a curved region.

4. An assembly according to claim 1 or 2

wherein the carrier is adapted to assume a generally lay-flat configuration in the absence of external force, and

wherein the carrier is flexed in response to applied external force out of the lay-flat condition into the flexed condition.

5. An assembly according to claim 1 or 2 wherein the carrier is pre-shaped to retain the processing container in the flexed condition.

6. An assembly according to claim 1 or 2 wherein the carrier is molded to retain the processing container in the flexed condition.

7. An assembly according to claim 1 or 2 wherein the carrier is thermally formed to retain the processing container in the flexed condition.

8. An assembly according to claim 1 or 2 wherein the carrier is vacuum formed to retain the processing container in the flexed condition.

9. An assembly according to claim 1 or 2 wherein the carrier comprises paper material.

10. An assembly according to claim 1 or 2 wherein the carrier comprises card board material.

11. An assembly according to claim 1 or 2 wherein the carrier comprises plastic material.

12. An assembly according to claim 1 or 2

wherein the processing container is secured to the carrier.

13. An assembly according to claim 1 or 2

wherein the carrier includes first and second facing surfaces and an intermediate slot accommodating the processing container and retaining the processing container in the flexed condition.

14. An assembly according to claim 1 or 2

wherein the carrier includes a surface contour which defines a wall contour for the processing container.

15. An assembly according to claim 1 or 2

wherein the carrier includes a surface projection which defines a wall projection for the processing container.

16. An assembly according to claim 1 or 2

wherein the processing container has a normal geometry unlike the channel.

17. An assembly according to claim 1 or 2

and further including an umbilicus connected to the processing chamber for conveying fluids to and from the processing container.

18. An assembly according to claim 1 or 2

wherein the carrier includes a lubricious material.

19. A blood processing assembly comprising

a centrifuge channel which, in use, is

rotated to create a centrifugal field,

a processing container having flexibility and which, in use, occupies the centrifuge channel,

tubing integrally connected to the processing container to convey blood from a source into the processing container for separation into components in the centrifugal field, and

a carrier attached to the processing container and retaining the processing container outside the centrifuge channel in a flexed condition conforming to the centrifuge channel, the carrier limiting deformation of the processing container during insertion into or removal from the channel.

20. A blood processing assembly according to claim 19

wherein the tubing includes an umbilicus.

21. An assembly according to claim 19

wherein the centrifuge channel includes a curved region.

22. A method for manufacturing a generally flexible blood processing container, which, in use, is inserted or removed from a centrifugation channel having a shape, the method comprising the step of attaching a carrier to hold the blood processing container outside the centrifugation channel in a geometry generally conforming to the shape of the centrifugation channel, the carrier serving to resist deformation of the processing container from the geometry during insertion into or removal from the centrifugation channel.

23. A method for processing blood in a

generally flexible processing container occupying a centrifugation channel having a shape, the method comprising the steps of

attaching a carrier to hold the blood processing container outside the centrifugation channel in a geometry generally conforming to the shape of the centrifugation channel, the carrier serving to resist deformation of the processing container from the geometry,

inserting the processing channel into the centrifugation chamber while held in the geometry by the carrier, and

performing a blood processing procedure.

ABSTRACT

A fluid processing assembly can be easily inserted into and removed from a rotatable centrifuge channel. The processing assembly comprises a processing container and a carrier. The processing container has flexibility and, in use, occupies the channel to receive fluids for separation in the centrifugal field. The carrier retains the processing container outside the channel in a flexed condition conforming to the channel. The carrier resists deformation of the processing container during its insertion into or removal from the channel.

FIG. 1

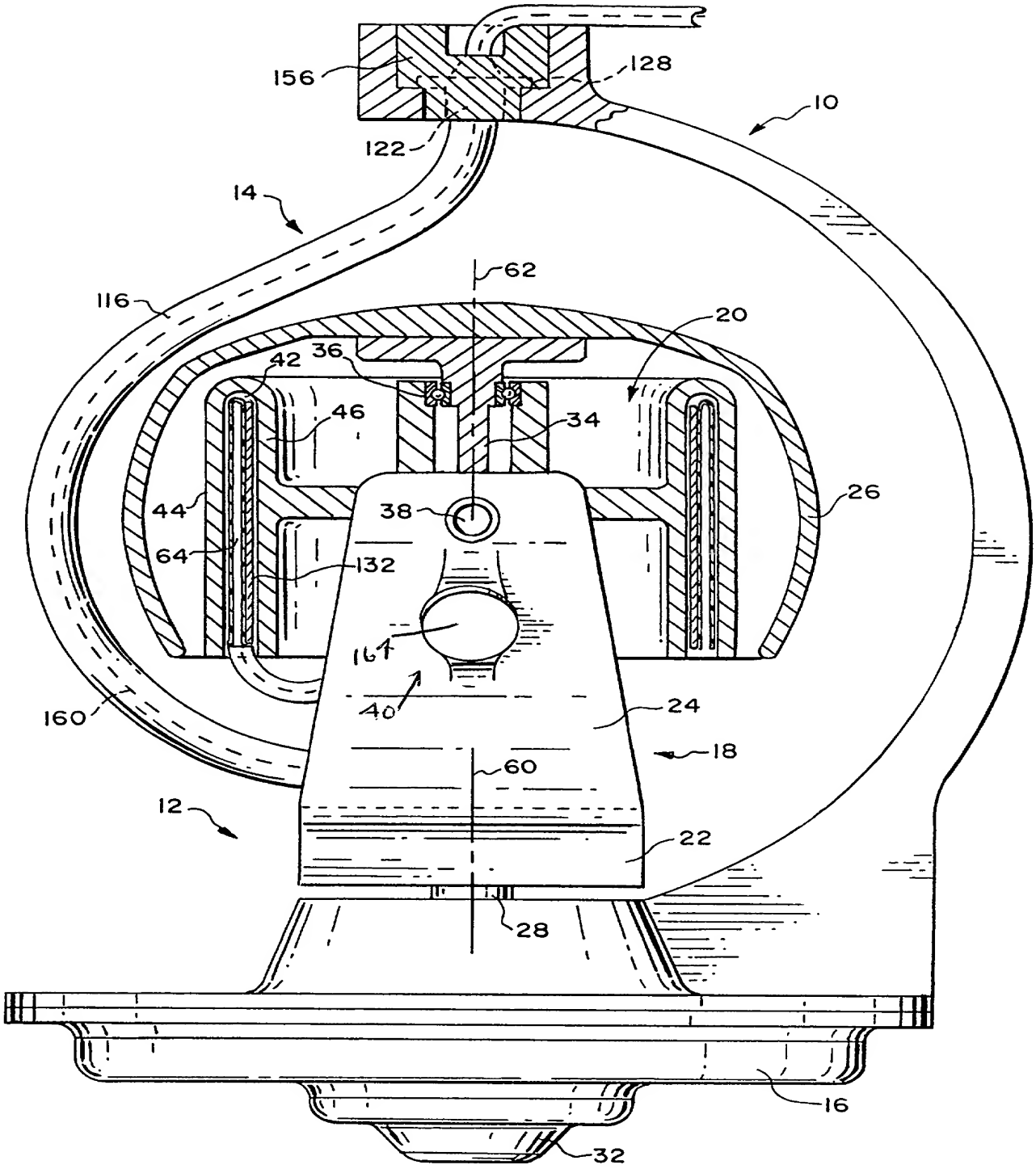
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FIG. 2

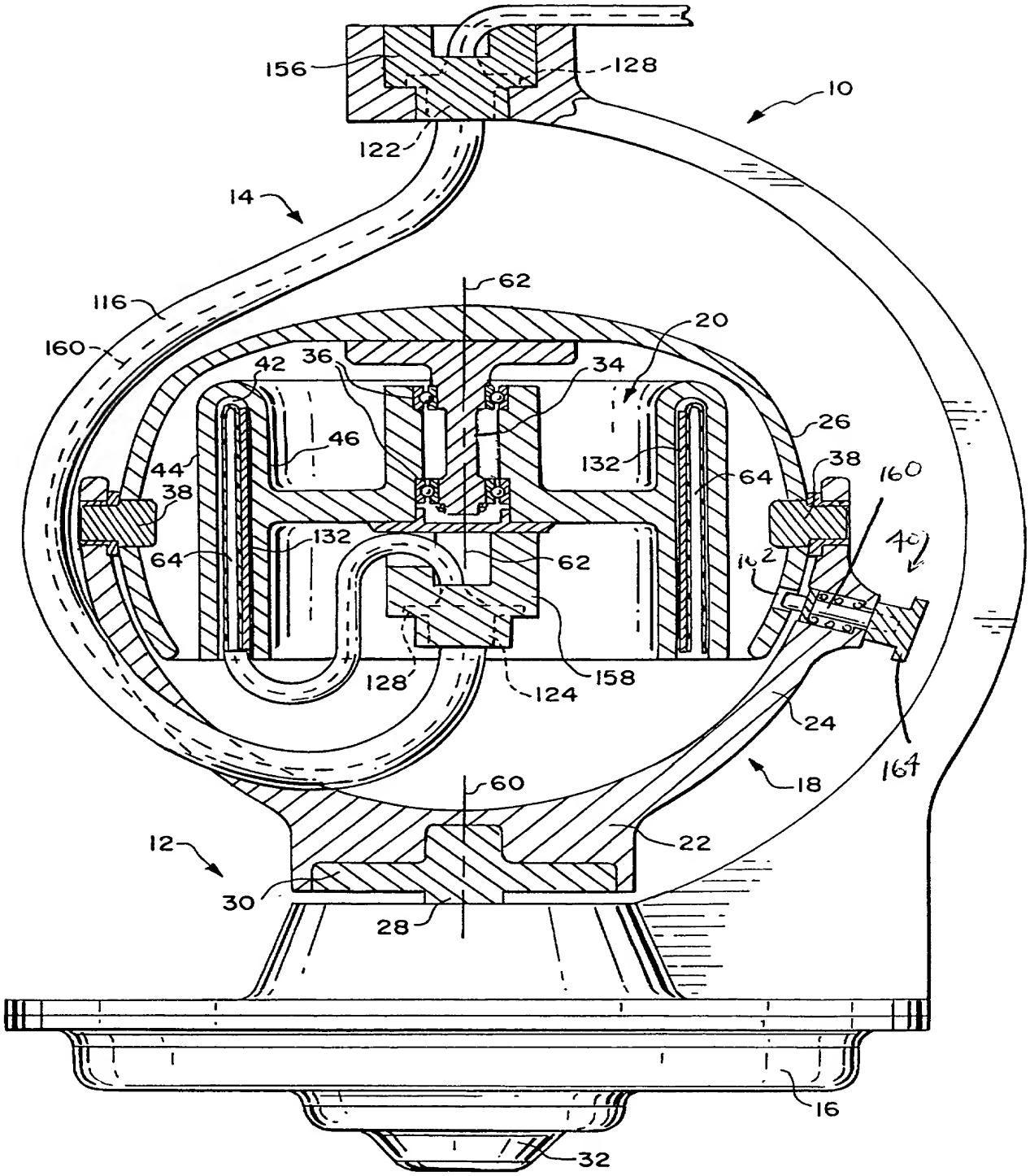
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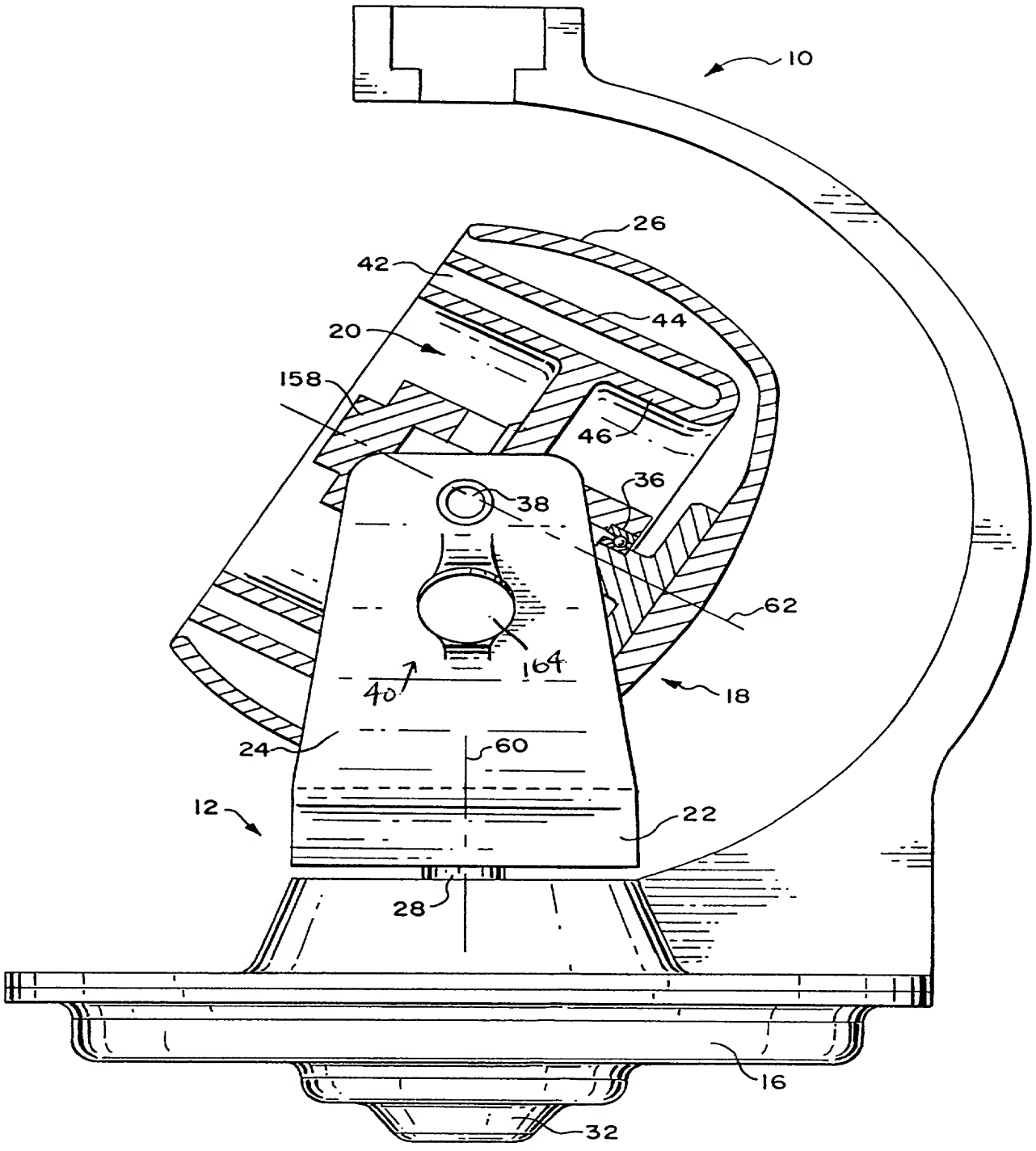
FIG. 3



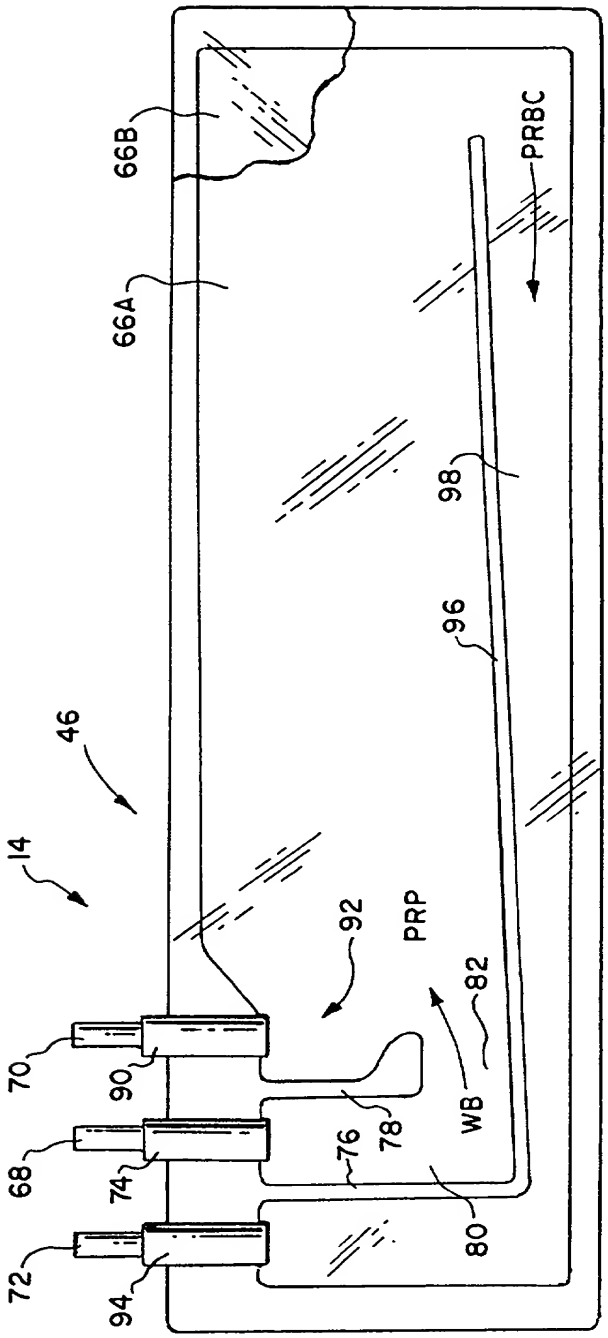


FIG. 4

FIG. 5

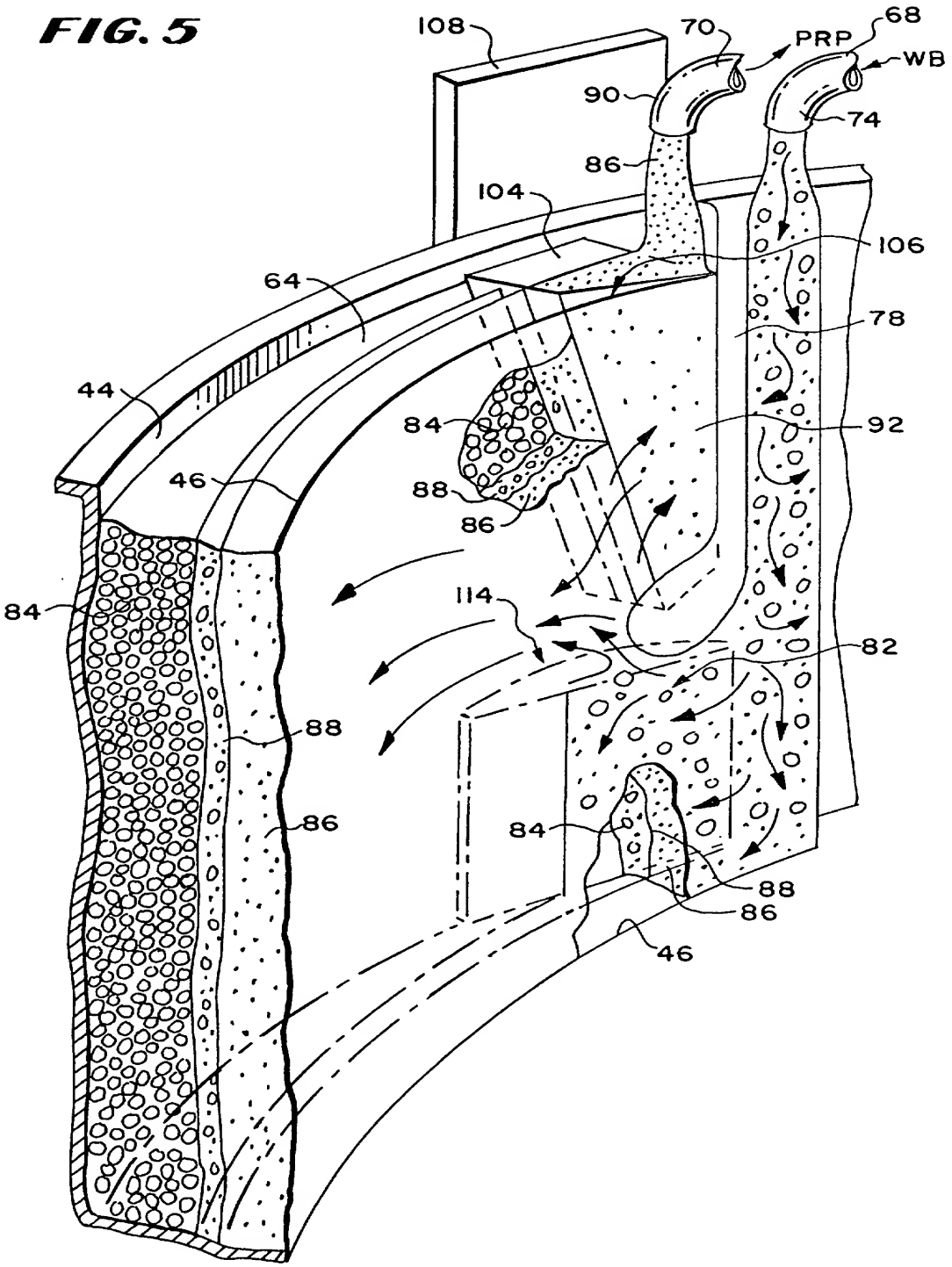

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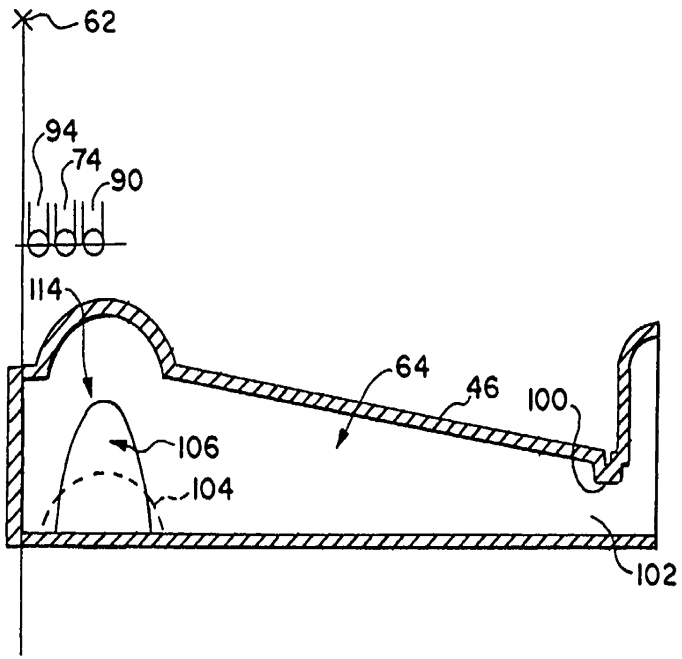
FIG. 6



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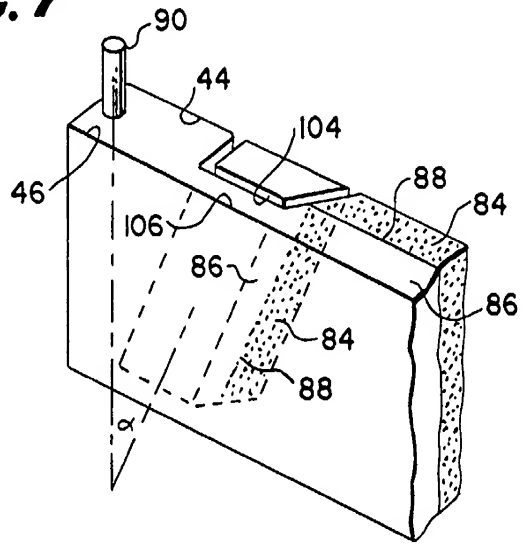
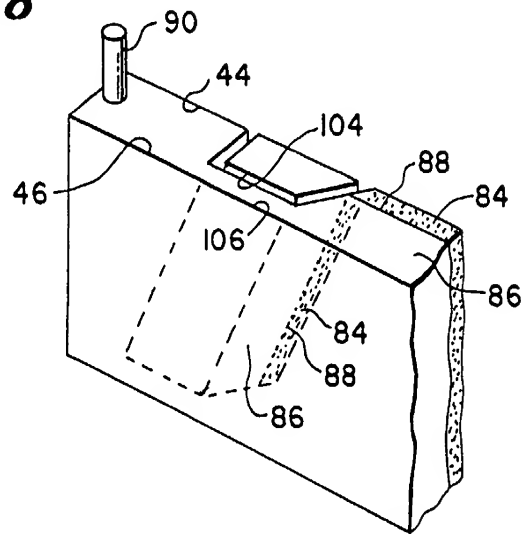


FIG. 8



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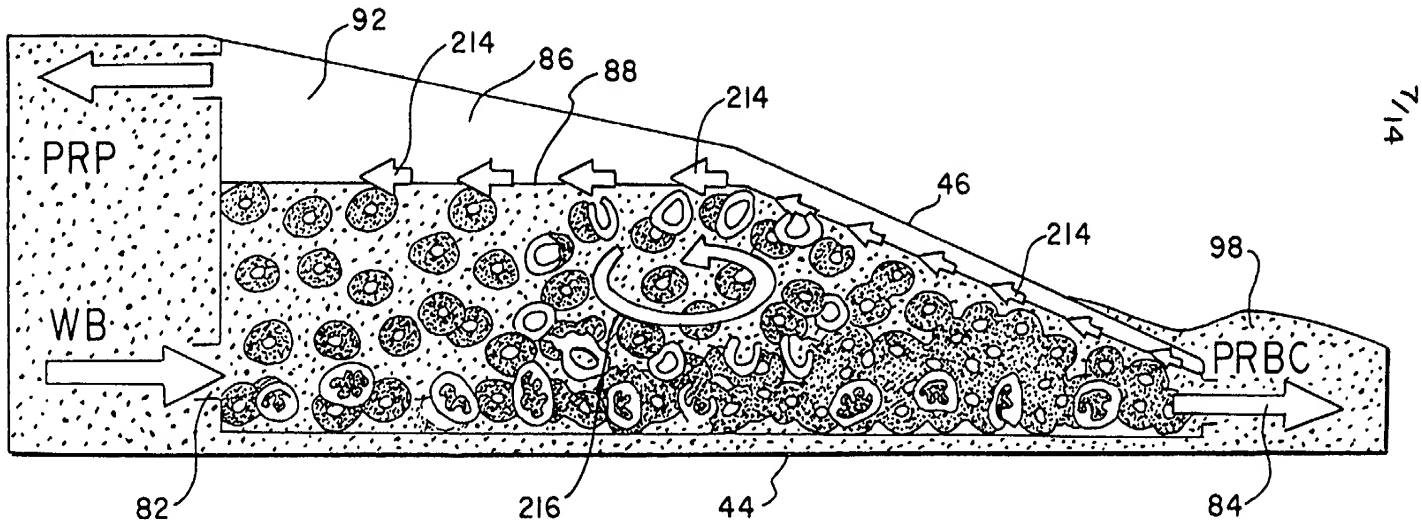

FIG. 10

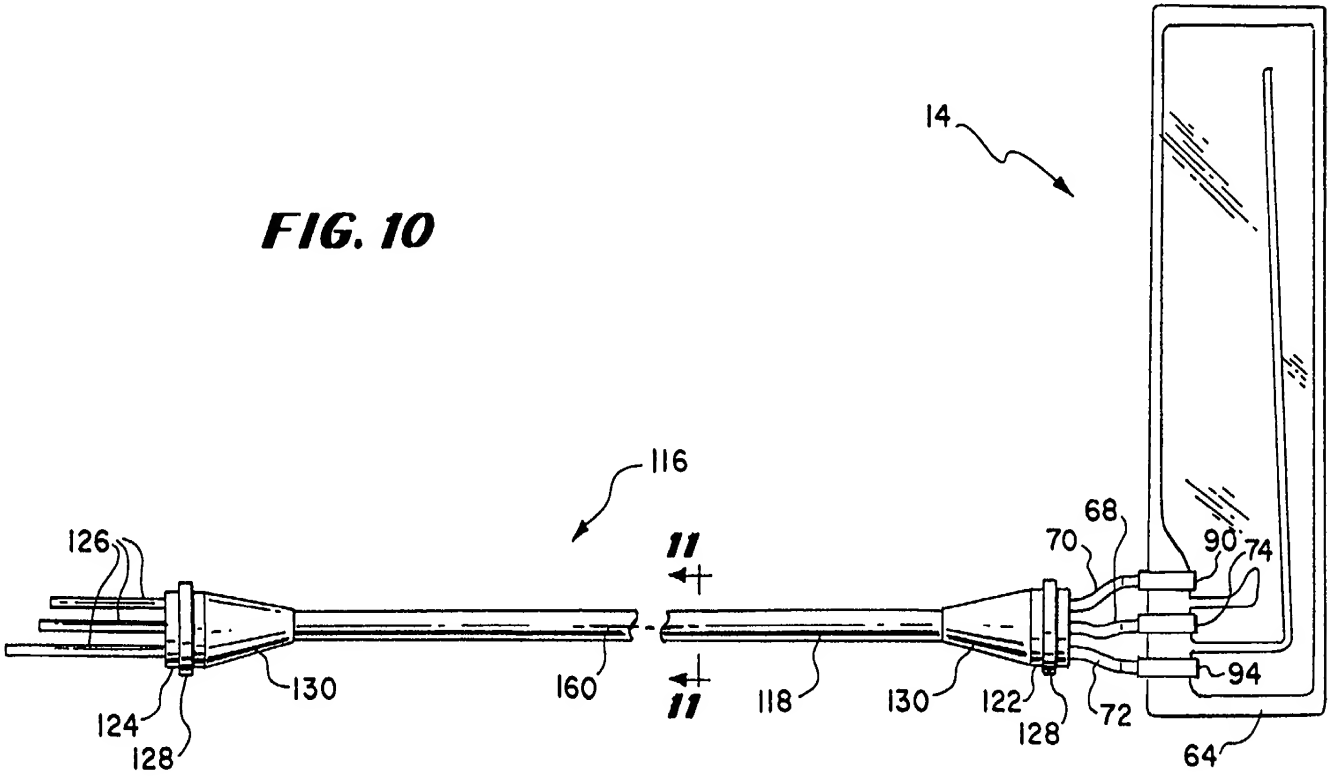
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FIG. 11

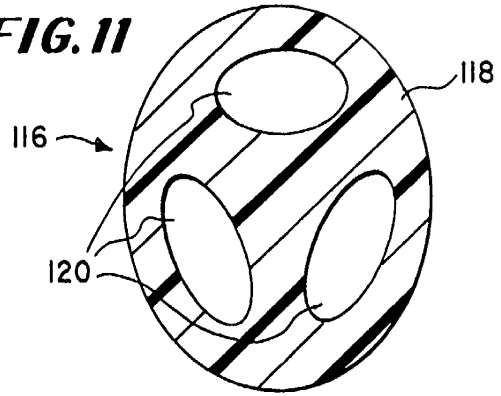
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FIG. 12A

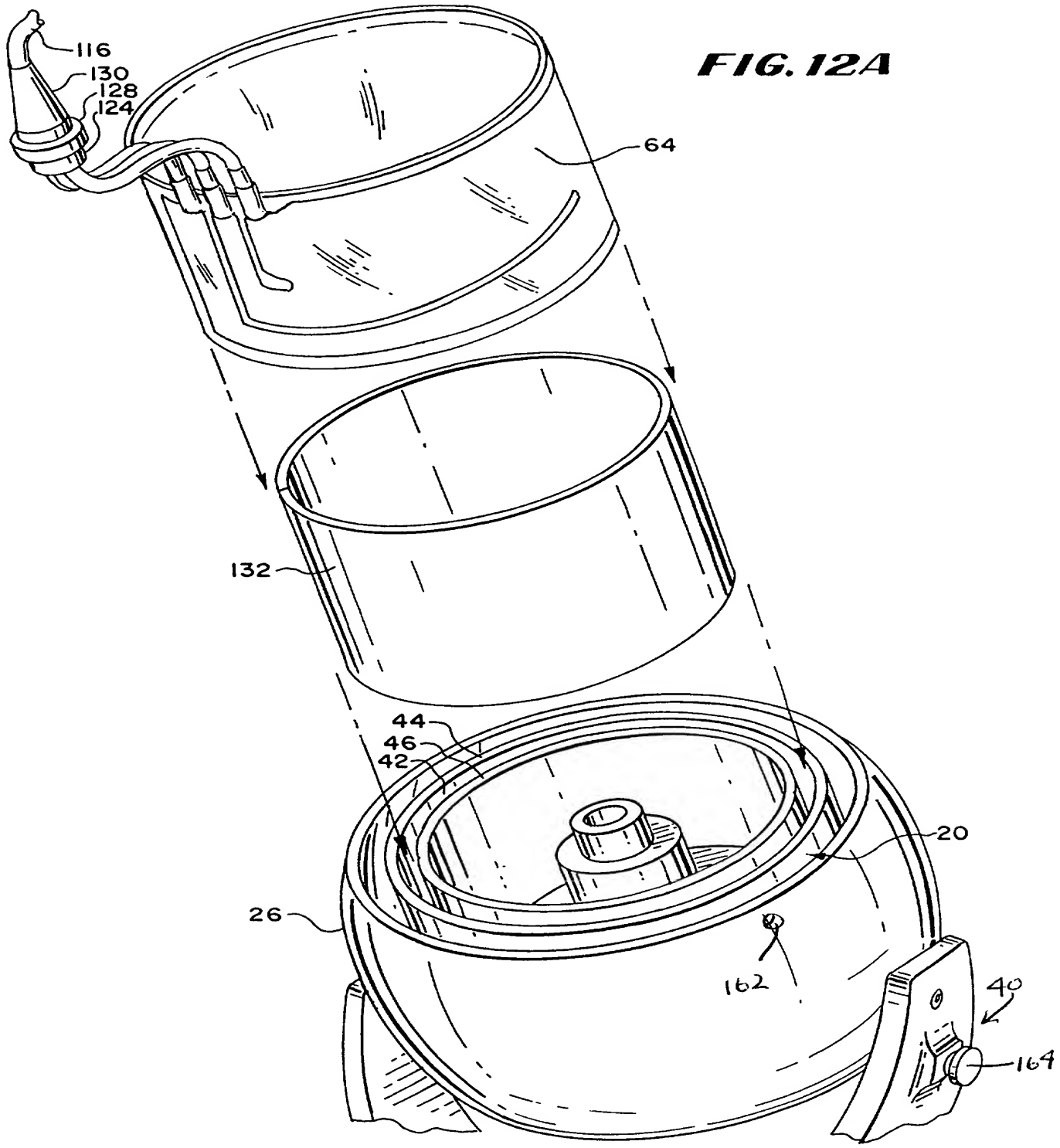

FIG. 12B

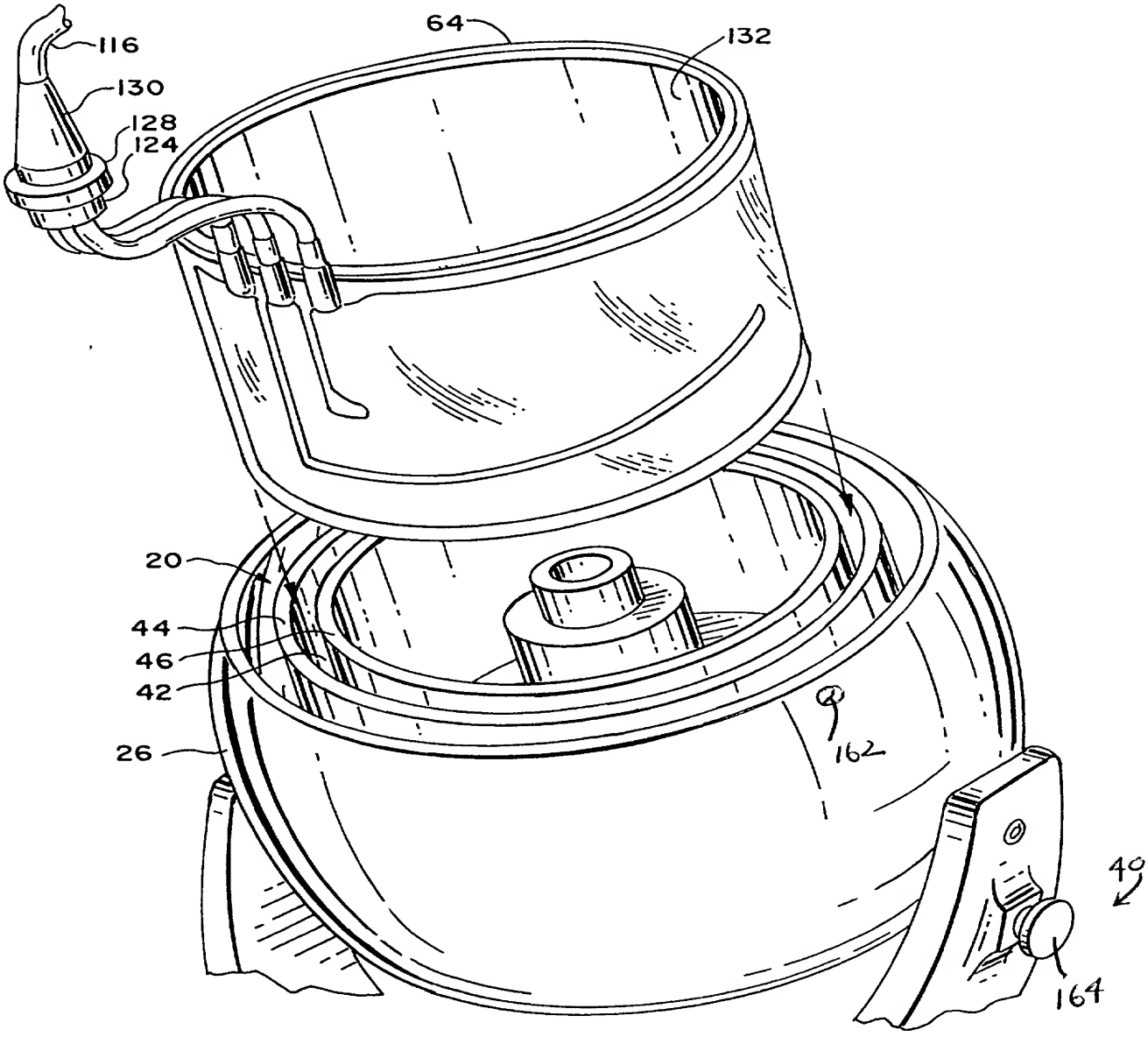

FIG. 13

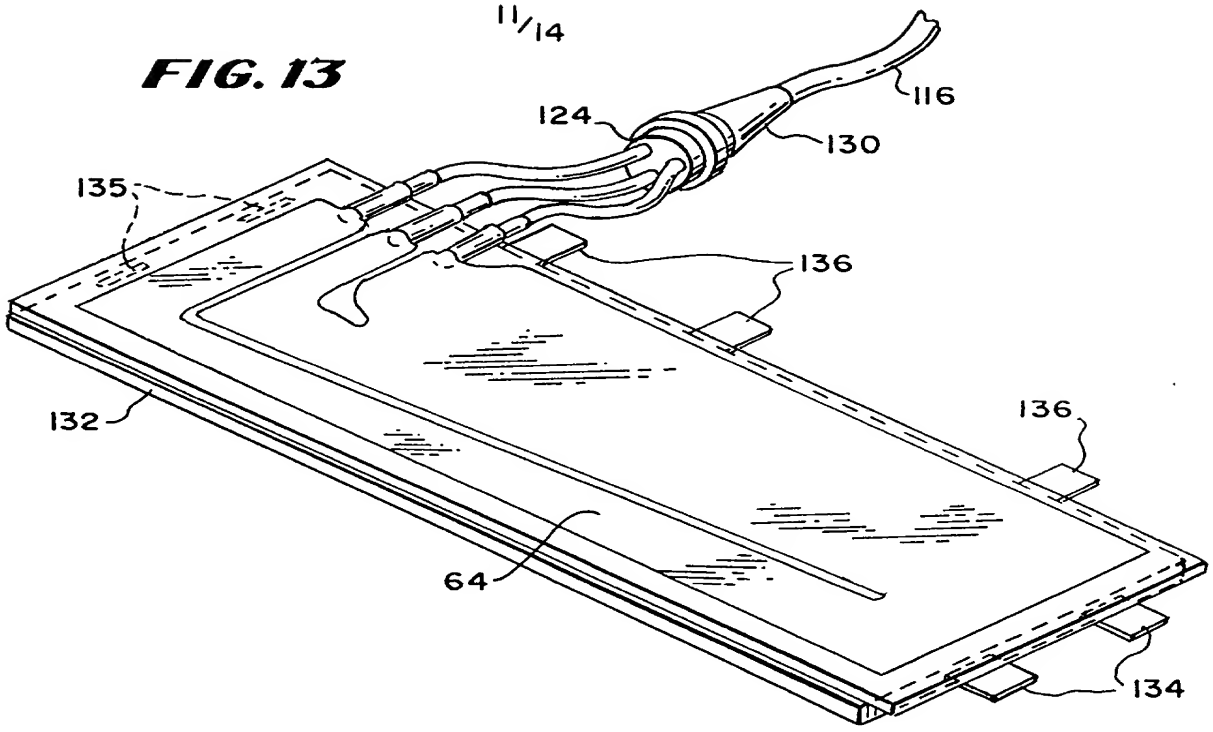
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FIG. 14

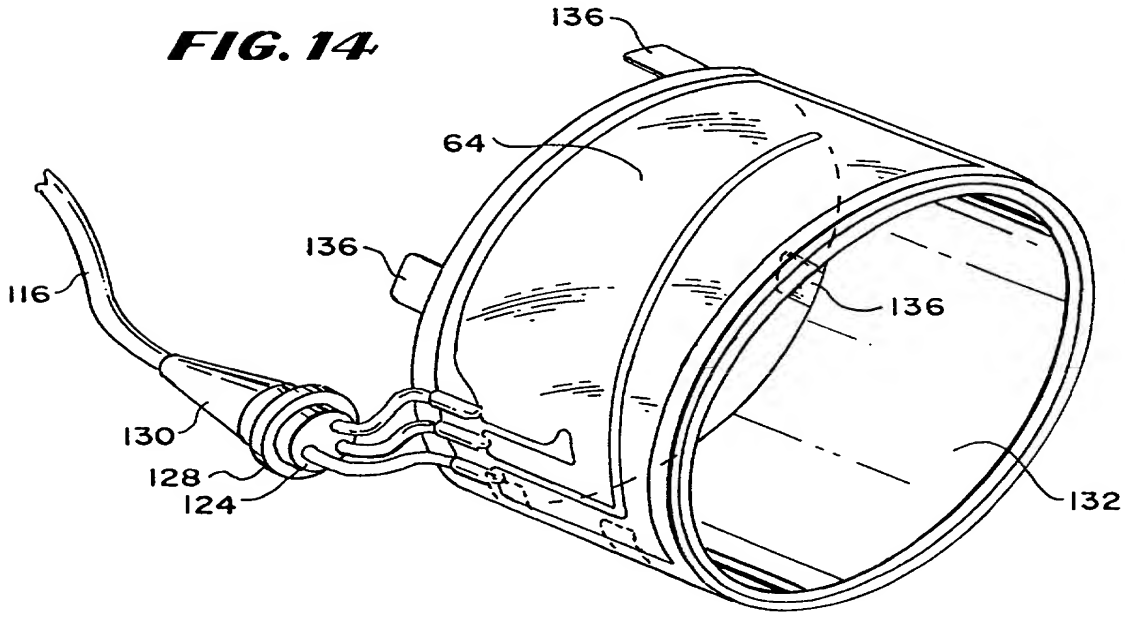
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FIG. 15

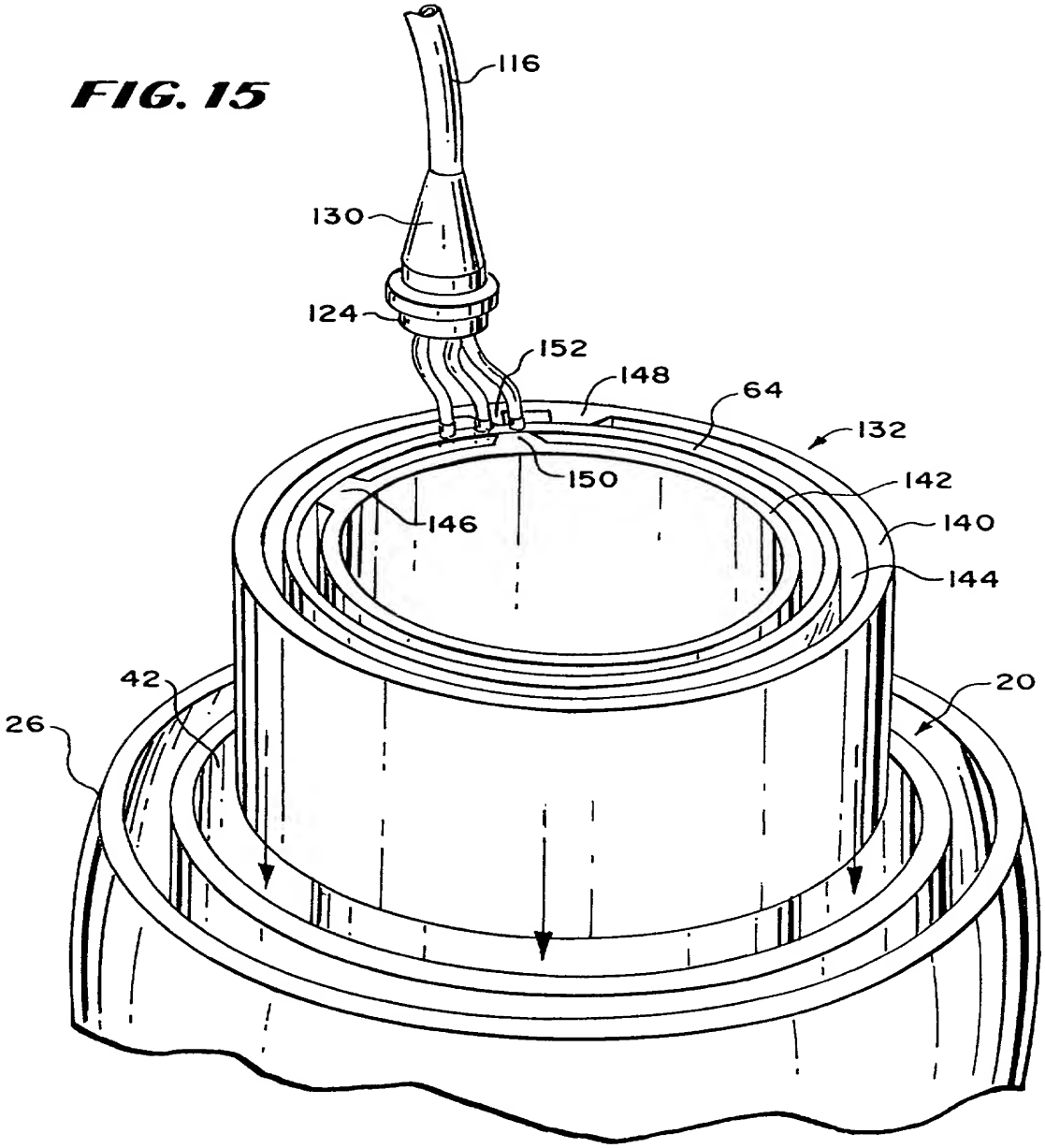


FIG. 16

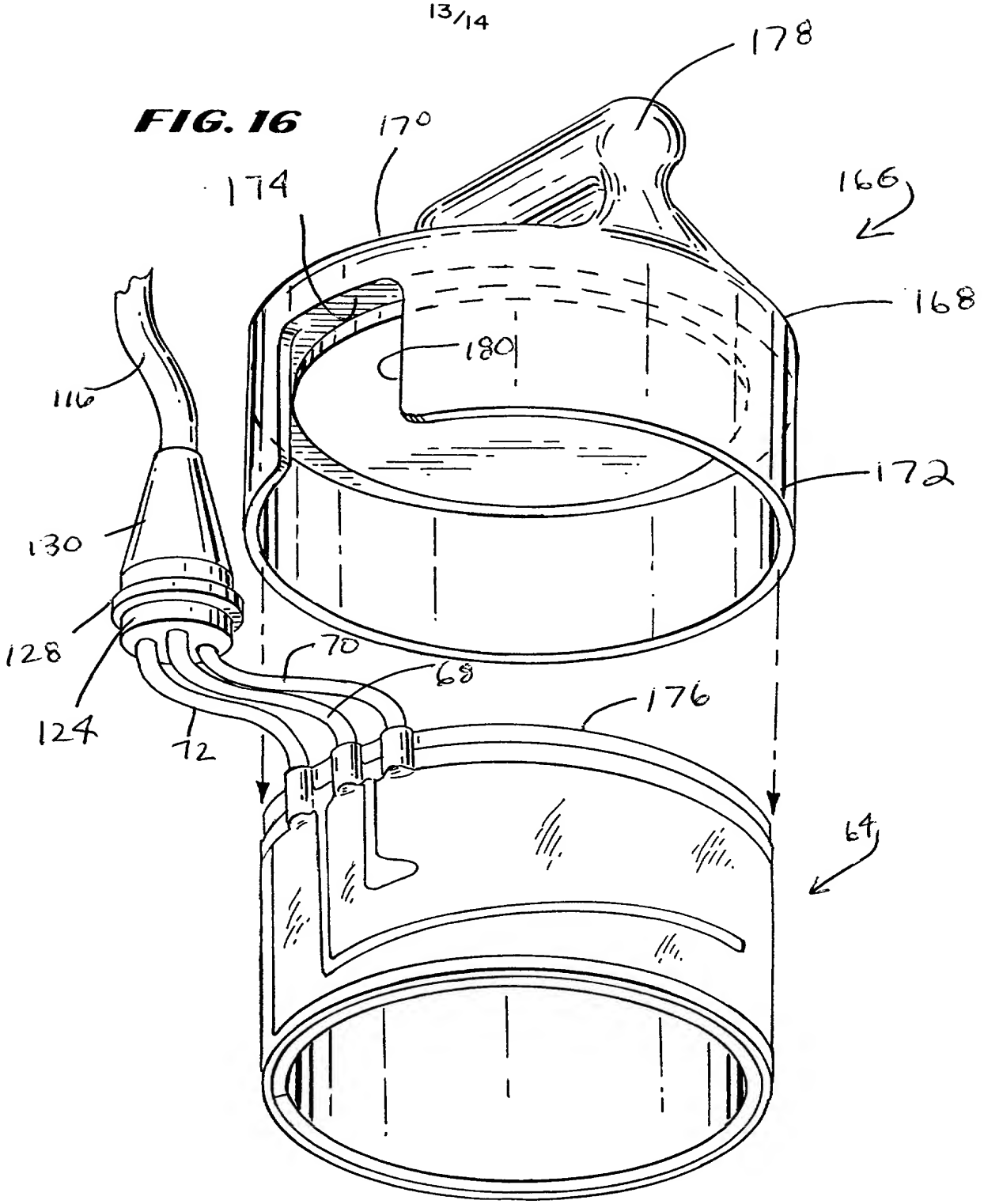
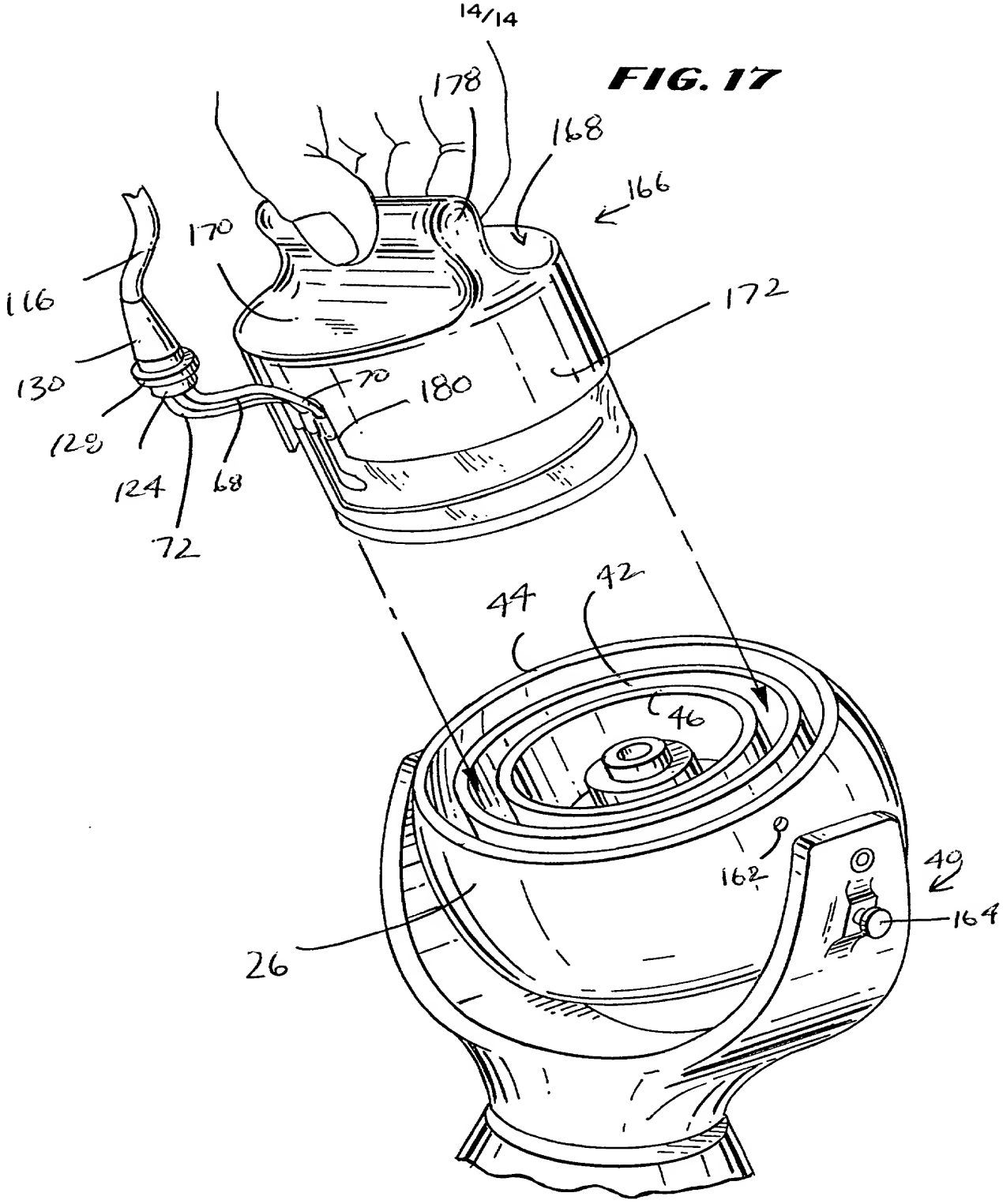


FIG. 17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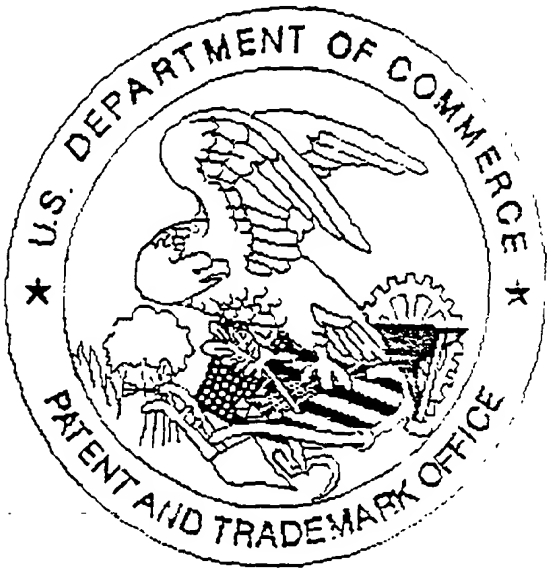

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